Space Survey Training
2023: Research
Who are we & What do we do?

- **Space Planning & Management**
  Andrew Bolling – Director
  Alaina Replogle – Application Support Manager
  Erin McKinnis – Sr. Data Analyst

- **Vision**
  Space Planning & Management will contribute to the educational, research, and public service goals of the University by providing comprehensive strategic planning and administration of space assignments and policies.

- **Mission**
  Space Planning & Management is responsible for managing one of the University's most limited resources – space. The management of space includes planning, tracking, assignment, auditing, analyses, and reporting of current and future needs based on the strategic and research goals of the institution while maintaining proper alignment with the Master Plan. Space Planning & Management is the central governing office of all space on campus.
WHAT is the space survey?

The Space Inventory Survey is a module of the FM:Interact Space Management System accessible through a web interface and based on CAD floor plans which track space on the Denton & Frisco campuses.

- 180 buildings
- 24,121 rooms
- Just over 8M square feet
WHY must we do the survey?

- Impacts UNT’s Legislative State appropriations
- Determines amount of HEF received (Higher Education Funds)
- Qualifies UNT for TRBs (Tuition Revenue Bonds) for new buildings
- F&A (Facilities and Administrative) Federal funding for research space
  - UNT is currently in the process of negotiations for reimbursement percentage with the federal government by assessing current research space usage
WHY must we do the survey?

- Required by State & Federal Government
- Required by UNT Policy
  - Policy, 11.002 – Space Management & Assignment Policy
  - Policy, 11.011 – Research Space Policy

“All units on campus are responsible for accurately reporting assigned rooms according to State and federal codes, regulations, and laws on an annual basis and is administered by OSMP” – Policy, 11.002
WHO must do the survey?

ALL academic AND non-academic departments/units on campus, including auxiliary and athletics

- Respondent (e.g., space representative)
- Approver (e.g., department chair, dean, director, VP)
- Certifier (SPM and UNT President)
**WHEN is the survey due?**

- Survey will open **Wednesday, March 1st**
- Survey is due **Friday, March 31st**
  - Delinquent surveys are reported to the VP of the division as well as the President
- Resist procrastination & allow plenty of time!
  - Collect Data
  - Enter Data room-by-room
  - Approval Process
- VPN
  - You **MUST** be connected to VPN to access the survey

*Don’t Delay!*
WHERE can the information be found?

- Floor Plans (CAD drawings)
- Faculty, Staff, & Lab Managers (grants, occupants, specialized research equipment)

Survey Resources:
- SPM Website (https://space.unt.edu)
- Survey link
- User’s Guide
- CIP Codes (Classification of Instructional Programs)
- Space Use Codes (e.g., office, lab, conference room, etc.)
- Function Codes (e.g., instructional, research, student services, administrative, etc.)
- Faculty Workload
Required Survey Data

- Department Correct?
  - “Yes” or “No”

- Space Use Correct?
  - Reference Space Use Codes List under the “Space Use Codes” tab in your binder or on our website at [https://space.unt.edu/file/61](https://space.unt.edu/file/61)
  - “Yes” or “No”
  - Coded as an office (310)...being used as an office?

- Room Dimensions Changed
  - “Yes” or “No”

- Capacity
  - Enter total capacity # for the space
  - If capacity is “0”, you **MUST** enter “0”
Required Survey Data Continued

- **Functional Category Codes to Rooms**
  - **Function Codes**
    - Reference Function Codes List under the “Function Codes” tab in your binder or on our website at [https://smp.unt.edu/file/67](https://smp.unt.edu/file/67)
    - How is the room used? (e.g., instructional, administrative, research, auxiliary, etc.)
    - May have up to 3 Function Codes per room but must total 100%
  - **CIP Codes (Classification of Instructional Program)**
    - Reference CIP Codes List under the “CIP Codes” tab in your binder or on our website at [https://space.unt.edu/file/121](https://space.unt.edu/file/121)
    - Which department/unit is the room assigned? (e.g., Biology, Financial Aid, Food Services, etc.)
    - Be as detailed as possible (e.g., Biology, Biomedical, Biochemistry, Molecular Biology, etc.)
    - May have up to 3 CIP Codes per room but must total 100%
**Occupants**
- EmplID First Name, or Last Name
- Only Exception: Multiple student employees occupying a space with turnover each semester

**Principal Investigators (PIs) to Rooms** (if applicable)
- Employee ID
- Department
- CIP Code
- Grant Award ID
- Research Type (Organized/Non-Organized)
- Grant Percent
- Lab Type (Dry/Wet)

**Room Amenities**
- Choose from a dropdown list if applicable to your research space

**Specialized Equipment**
- Research equipment purchased with grant funds
- Enter tag number and description
Defining Research Space

- Research Space defined by Space Type
  - 250 – Research/Non-Class Lab
  - 255 – Research/Non-Class Lab Service

- Research Space defined by Function Code
  - 21 – Institutes & Research Centers
  - 22 – Individual or Project Research
  - 31-34 – Public Service
  - 48 – Academic Personnel Development
Sponsored Projects Function Definitions

1. **Sponsored Instruction** and training means specific instructional, or training activity established by grant, contract, or cooperative agreement. For purposes of the cost principles, this activity may be considered a major function even though an institution's accounting treatment may include it in the instruction function.

2. **Sponsored Research** means all research and development activities that are sponsored by Federal and non-Federal agencies and organizations. This term includes activities involving the training of individuals in research techniques (commonly called research training) where such activities utilize the same facilities as other research and development activities and where such activities are not included.

3. **Sponsored Public Service** activities includes services provided by the university to the broader community examples include health service projects and community service programs.
Determining Sponsored Project Function Code

Project Function Codes can be found in the **FM Interact System** and on the **UNT website**: UNT.edu > Research > Research Services > Reports and Statistics > (Log into system with UNT id) > Select FY2023 Expenditure Link

Click on download link to filter information

“Activity Column” = Sponsored Project Function:

- Research
- Instruction
- Public Service
## Sponsored Project Function Codes vs Space & Planning Mgmt. Function Codes

<table>
<thead>
<tr>
<th>GCA Function Codes</th>
<th>Space &amp; Planning Mgmt. Function Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Function 110 (Sponsored Instruction)</td>
<td>Function Code 48 Academic Personnel Development</td>
</tr>
<tr>
<td>Function 200 (Sponsored Research)</td>
<td>Function Code 22 Individual or Project Research</td>
</tr>
<tr>
<td>Function 310 (Sponsored Public Service)</td>
<td>Function Code 31-34 Public Service</td>
</tr>
</tbody>
</table>

Note: does not include Departmental research and other University funded research
Research Space

  - Organized Research (Funded)
    - Grant-Funded Research **MUST** include:
      - Function Code
      - Research Type
      - Lab Type
      - Room Percent
      - PIs to Rooms
      - PIs to Rooms Percent
      - Survey Amenities
      - Specialized Equipment
  - Non-Organized Research (Academic Personnel Development)
    - Includes departmental research spaces
Required Survey Data - Research Space

- All research space **MUST** be prorated by:
  - Grant/Project #
  - Principal Investigators (PIs)
  - Function & CIP Codes

- All research space **MUST** include specialized research equipment if applicable
Space Survey and the F&A Proposal

The Federal Government requires the university to have a current and accurate space survey for developing the Facilities and Administrative (F&A) Cost Proposal.

- The Space data is one of the most important factors in determining the F&A rate.
- University facility costs are primarily allocated to the University functions, instruction, public service and research, based on how that University space is used or functionalized.
- Those are the percentages you are charged with determining.
- A 100% allocation to the research function for a lab space is highly unlikely.
- A Federal Cost team will visit UNT to negotiate the F&A agreement. During that visit, it will tour some of your departments to test the research percentages that you have included in your space survey.
Research Space by Function

Is the space really 100%?
Labs at 100% = red flag

- Function should be determined by aggregate activity for the fiscal year
- Estimate percentages based on users, funding and activities
Research Space by Function Continued

Questions to Ask:

- Who are the users of the space? Faculty, students, etc.?
- Is there funding associated with the user’s activities in the space?
- What are the students doing? Checking email, preparing for class, grading papers? These are instructional activities (Function 11) which would mean the space is NOT 100% research.
- Are the Faculty working towards or waiting for a grant award? This is departmental research (Function 48).

Student space – Graduate bullpens, offices, etc.

- Are these students working on research, homework etc? Prorate the function accordingly.

Research Service space

- Used only by funded researchers? Shared with others who may not have funding?
Survey Checklist Report

- This report will show you any mistakes, issues, or missing items among the data you have entered. *Please note, if you have suggested a change, it will show on this report.
- You may run the report for your entire space survey or, if you have multiple Dept. ID’s, you may run the report per Dept. ID.
- Download the report to excel for easier access and maneuverability.
**Survey Checklist Report Continued**

**Problems:** This box contains all of the potential problems or issues that may be on your survey. You can run the report for all categories at once or run it for each individually.

- Incorrect % for Function Codes
- Incorrect % for Principal Investigators
- Wrong Department.
- Wrong Space Use
- Record Not Reviewed
- No Space Use Code
- Missing Student Capacity
- No Department Code
- No Account for Organized Research
- More than 3 Function Codes Assigned to Room
Research Space Examples

Example 1 – Faculty Office – Funded Grant
Faculty office with a 40/40/20 load and a funded grant

<table>
<thead>
<tr>
<th>Function Code</th>
<th>Name</th>
<th>Percent</th>
<th>PI Required?</th>
<th>Grant # Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Instructional</td>
<td>40%</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>22</td>
<td>Organized Research</td>
<td>40%</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>46</td>
<td>Academic Administration (service)</td>
<td>20%</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

Example 2 – Faculty Office – No Funded Grant
Faculty office with a 60% teaching, 30% research, and 10% service load

<table>
<thead>
<tr>
<th>Function Code</th>
<th>Name</th>
<th>Percent</th>
<th>PI Required?</th>
<th>Grant # Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Instructional</td>
<td>60%</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>48</td>
<td>Departmental Research</td>
<td>30%</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>46</td>
<td>Academic Administration (service)</td>
<td>10%</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>
Research Space Examples Continued

- **Example 3** – Faculty Office – Adjunct/Lecturer
  Faculty office for an Adjunct or Lecturer with a 100% teaching load

<table>
<thead>
<tr>
<th>Function Code</th>
<th>Name</th>
<th>Percent</th>
<th>PI Required?</th>
<th>Grant # Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Instructional</td>
<td>100%</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

- **Example 4** – Research Lab – Space Use 250
  Lab used 20% of the time for graduate students working on instructional objectives and 80% of the time on funded research

<table>
<thead>
<tr>
<th>Function Code</th>
<th>Name</th>
<th>Percent</th>
<th>PI Required?</th>
<th>Grant # Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Instructional</td>
<td>20%</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>22</td>
<td>Organized Research</td>
<td>80%</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>
Example 5 – Research Institute – Space Use varies

Room used 75% of the time for research on a funded grant and 25% of the time for administrative purposes.

<table>
<thead>
<tr>
<th>Function Code</th>
<th>Name</th>
<th>Percent</th>
<th>PI Required?</th>
<th>Grant # Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>21</td>
<td>Institutes and Research Centers</td>
<td>75%</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>46</td>
<td>Academic Administration</td>
<td>25%</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>
Calculation of total Sq. Ft. that your research expenditures applies to is determined by the percentage of total space used specifically for research. The calculated total is then applied to the percentage of use per PI and Grant to arrive at your total expenditures per sq. ft.

**EXAMPLE – Research lab used 60% for funded research with 2 PI’s and 4 grants**

- If the lab is 1,000 total sq. ft. then 60% of that will be applied toward the research total equaling 600 sq. ft. of research space (1,000 x .6 = 600).
  - The total would then be prorated by PI percent so if each uses it 50% of the time it is actually used for research, it would be 300 sq. ft. per PI (600 x .5 = 300).
  - That total would be prorated by percentage of time each grant is worked on by that PI, so if each PI worked on their grants 50% of the time they used the lab for research, then 150 sq. ft. would be the total sq. ft. the expenditures for each grant would apply to. (300 x .5 = 150).
Demonstration
Questions to Ask